

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Illinois Tool Works Inc.

Application No./Patent No./Control No. 10/027,965 Filed/Issue Date: December 19, 2001

Entitled: Reporting In A Supply Chain

Illinois Tool Works Inc., a corporation

(Name of Assignee)

(Type of Assignee: corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest
(The extent (by percentage) of its ownership interest is _____%)

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or a true copy of the original assignment is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Gil, et al. To: WORLDCHAIN, INC.
The document was recorded in the United States Patent and Trademark Office at
Reel 012408, Frame 0154, or for which a copy thereof is attached.
2. From: WORLDCHAIN, INC. To: OPTUM, INC.
The document was recorded in the United States Patent and Trademark Office at
Reel 014742, Frame 0740, or for which a copy thereof is attached.
3. From: OPTUM, INC. To: Illinois Tool Works Inc.
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

- ☒ Additional documents in the chain of title are listed on a supplemental sheet.

As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

(NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08)

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Mark Croft
Signature

Mark Croft

Printed or Typed Name

Vice President, Patents & Technology

Title

August 27, 2007

Date

847-657-4073

Telephone Number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



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SKJERVEN, MORRILL, MACPHERSON,
LLP

M-11872 US
24298/81101

FEBRUARY 21, 2002

PTAS

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Under Secretary of Commerce For Intellectual Property and
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UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 12/19/2001

REEL/FRAME: 012408/0154
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

GIL, REYNALDO

DOC DATE: 12/18/2001

ASSIGNOR:

GANGOPADHYAY, DIPAYAN

DOC DATE: 12/18/2001

ASSIGNOR:

ZHOU, JAY

DOC DATE: 12/18/2001

ASSIGNOR:

SIMEON, JAY ZHOU

DOC DATE: 12/18/2001

ASSIGNOR:

NAYAK, SANDEEP

DOC DATE: 12/18/2001

ASSIGNOR:

GORDON, SIMEON

DOC DATE: 12/18/2001

ATTORNEY: PWW M-11872 US
ASSIGNMENT FILED
ACTION: _____ DUE: _____
ACTION: _____ DUE: _____
ACTION: _____ DUE: _____
DOCKETED BY: 5 DATE: 7-18-02
FORM LETTER: YES/NO

ASSIGNEE:

WORLDCHAIN, INC.
39141 CIVIC CENTER DRIVE
SUITE 220
FREMONT, CALIFORNIA 94538

SERIAL NUMBER: 10027965
PATENT NUMBER:

FILING DATE: 12/19/2001
ISSUE DATE:

SHARON LATIMER, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

01-04-2002

TORNEY DOCKET NO: M-11872 US

U.S. DEPARTMENT OF COMMERCE

PATENT AND TRADEMARK OFFICE

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TO THE HONORABLE DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE. PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF.

1. Name of conveying party(ies):

(a) Reynaldo Gil, Dipayan Gangopadhyay, Jay Zhou, Simeon Gordon, and Sandeep Nayak

Additional name(s) of conveying party(ies) attached?

☐ Yes☒ No

2. Name and address of receiving party(ies):

Name: WorldChain, Inc.

Street Address: 39141 Civic Center Drive, Suite 220

City: Fremont

{State} CA

Country: United States

3. Nature of Conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other (Enter Description)

Execution Date: December 18, 2001

Name and address of receiving party(ies):

Name: (Enter Name)

Street Address: (Enter Street Address)

City: (City)

State: (State)

Zip: (Zip)

Country: (Country)

Additional name(s) & address(es) attached?

☐ Yes☐ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: December 18, 2001.

A. Patent Application No.(s) - Not yet assigned

Title: Reporting In a Supply Chain

B. Patent No.(s)

Additional numbers attached?

☐ Yes☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: PHILIP W. WOO

Internal Address: SKJERVEN MORRILL MacPHERSON LLP

Street Address: THREE EMBARCADERO, SUITE 2800

City: SAN FRANCISCO

State: CA

Zip: 94111

6. Total number of applications and patents involved: (Number)

7. Total fee (37 CFR 3.41):

\$40.00

☒

Authorized to be charged to Deposit Account 19-2386

☒

Charge Deposit Account 19-2386 for any additional fees required for this conveyance and credit deposit account 19-2386 any amounts overpaid

01/03/2002 LHWELLER 00000171 192386 10027965

01 FC:581 40.00 CH

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8. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Philip W. Woo

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and documents: (Enter Total Number Of Pages)

ASSIGNMENT

For good and valuable consideration, receipt of which is hereby acknowledged, we

Reynaldo Gil, Dipayan Gangopadhyay, Jay Zhou, Simeon Gordon, and Sandeep Nayak

hereby sell, assign and transfer to WorldChain, Inc., a Delaware corporation, having a place of business at 39141 Civic Center Drive, Ste. 220, Fremont, California 94538, its successors and assigns, the entire right, title and interest throughout the world in our invention in:

REPORTING IN A SUPPLY CHAIN

for which we have executed a United States patent application on or about the date of this assignment, and all patent applications and patents of every country for said invention, including divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said applications; we authorize the above-named assignee to apply for patents of foreign countries for said invention, and to claim all rights of priority without further authorization from us; we agree to execute all papers useful in connection with said United States and foreign applications, and generally to do everything possible to aid said assignee, their successors, assigns and nominees, at their request and expense, in obtaining and enforcing patents for said invention in all countries; and we request that the United States Patent and Trademark Office issue all patents granted for said invention to the above-named assignee, its successors and assigns.

Executed this 18 day of December, 2001.

Reynaldo Gil
Reynaldo Gil

Executed this 18 day of December, 2001.

Dipayan Gangopadhyay
Dipayan Gangopadhyay

Executed this 18 day of December, 2001.

Jay Zhou
Jay Zhou

Executed this 18 day of December, 2001.

Simeon Gordon
Simeon Gordon

Executed this 18 day of DECEMBER, 2001.

Sandeep Nayak
Sandeep Nayak

State of California

County of Alameda ss.

On 18th Dec. 2011 before me, Ruta Chaudhari, Notary Public
personally appeared Reynaldo Gil, Jay Zhou
Simeon Chordn, Sandeep Nayak and
Dipayan Chatterjee
personally known to me or proved to me on the basis of satisfactory evidence to be the
person(s) whose name(s) is (are) subscribed to the within instrument and acknowledged to me
that he/she/they executed the same in his/her/their authorized capacity(ies), and that by
his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which
the person(s) acted, executed the instrument.



WITNESS my hand and official seal.

Ruta Chaudhari
SIGNATURE OF NOTARY

ASSIGNMENT

For valuable and sufficient consideration from Illinois Tool Works Inc. (hereinafter referred to as "Assignee"), a Delaware corporation having its principal place of business at 3600 West Lake Avenue, Glenview, Illinois 60026-1215, receipt of such consideration being hereby acknowledged on authority of, and as legal representative, I/ we,

Mark Croll

for Optum, Inc.

hereby sell, assign and convey unto Assignee, its successors and assigns, the entire right, title and interest:

(1) in and to the inventions of the patent application entitled "REPORTING IN A SUPPLY CHAIN", Non-Provisional United States Patent Application No. 10/027,965 filed on 19-DEC-2001;

(2) in and to any PCT Patent Application, United States Patent Application and/or United States Provisional Application(s) and in and to all other patent applications now or hereafter filed in the United States and countries foreign to the United States (including divisional, continuation, reexamination, and reissue applications, and legal equivalents thereof) based upon said invention, and in and to the patent or patents granted thereon or issued therefrom, including reissues or the like thereof, if any, to the full end of the term or terms for which said patent or patents may be granted or issued.

I/we agree that any patent applications of any countries foreign to the United States which may be filed under any applicable international treaties and/or conventions based upon said invention(s) shall be filed in the name of Assignee with the right to claim priority to any earlier filed applications based upon said invention(s).

I/we hereby further agree that I/we shall, upon demand of Assignee, its successors or assigns, and without further consideration to me/us, execute any and all papers that may be necessary, or deemed by Assignee, its successors or assigns, to be necessary, to be a complete fulfillment of the intent and purposes of this Assignment, it being understood that any expense incident to the execution of such papers shall be paid by Assignee, its successors and assigns, and not by me/us.

I/we hereby further agree and authorize the Commissioner of Patents and Trademarks of the United States and all other appropriate government officials for applicable countries foreign to the United States to issue any and all above-identified patents to Assignee.


Name

August 27, 2007
Date

Vice President, **Optum, Inc.**
Title